ANNUAL REPORT 2021–22

INDIANA UNIVERSITY PUBLIC POLICY INSTITUTE

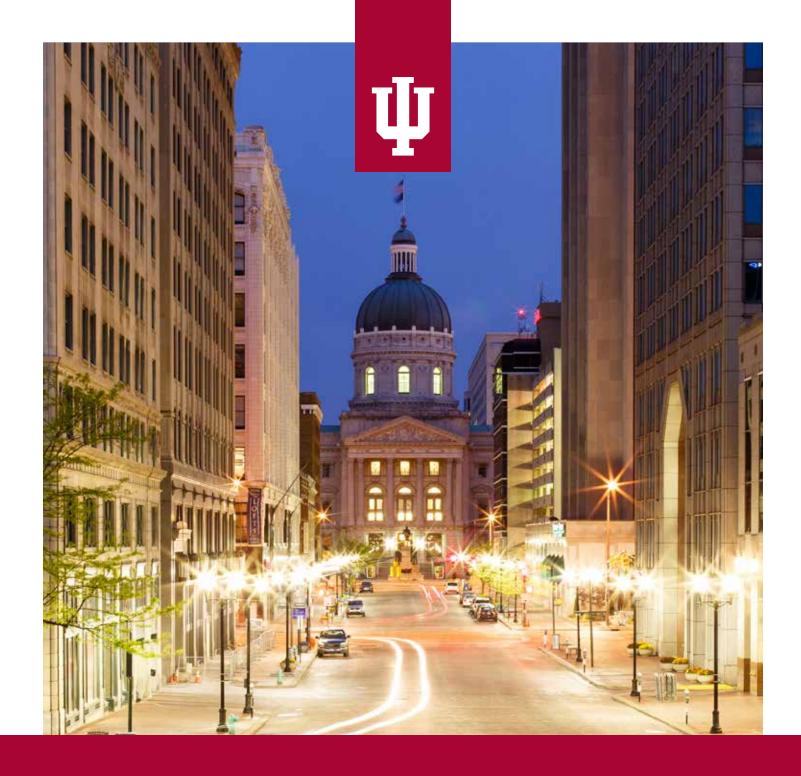


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MISSION STATEMENT

To produce unbiased, high-quality research, analyses, and policy guidance to promote positive change and improve the quality of life in communities across Indiana and the nation.

VALUE PROPOSITION

With no agenda other than helping leaders, citizens, businesses, and organizations solve problems, seize opportunities, and effect positive change, our expert team can address a wide range of issues and deliver data and insights needed to make informed decisions.

WORK WITH US

PPI offers policy analysis, program evaluation, dialogue facilitation, and long-term planning to clients from the public, private, civic, academic, and nonprofit sectors. We combine academic resources and marketplace experience to identify challenges and opportunities, gather data, and uncover best practices to walk our clients through the decisionmaking process-all without bias or agenda.

A Message from the Director



Dear PPI Stakeholder,

I am pleased to present this annual report of the Indiana University Public Policy Institute, covering our notable activities, accomplishments, and organizational improvements for the 2021–22 fiscal year (July 1, 2021, to June 30, 2022).

The year may be best summarized as a slow but progressively better recovery from the pandemic,

which not only affected revenues, but staffing. Just as was the case for the IUPUI campus and O'Neill Indianapolis, several positions turned over, requiring us to rebuild staffing to support many new project opportunities.

FY 2022 is notable for the many interesting and impactful projects we completed as well as new projects we secured for the coming year. We are pleased to have had the opportunity to work with leading organizations, many of which are long-time PPI partners, such as the Indy Chamber, the Indiana Soybean Alliance, Cook Medical, Goodwill of Central Indiana, the city of Indianapolis, Indianapolis Public Schools, the Indiana Criminal Justice Institute, as well as national organizations such as the Hudson Institute and the Center for Strategic and International Studies.

PPI also was delighted to present the John L. Krauss 2020 Award for Public Policy Innovation to the City-County Council of Indianapolis and Marion County. The presentation of this award, which was delayed by the pandemic, was made to the Council for its groundbreaking work in seeking citizen input on its effectiveness in serving their constituents and recommendations for improving both their legislative efficacy and administrative efficiency.

Finally, we are exceptionally proud of the experiential learning opportunities we provide to undergraduate and graduate students, who come to PPI from the O'Neill School as well as other schools. Many of these students work with our Center for Research on Inclusion and Social Policy. We are grateful for the efforts of interim director Roxy Lawrence for organizing and enabling student experiences that build their skills in program evaluation, research, and policy analysis.

This report provides but a few examples of how PPI is making a difference. I hope you enjoy reading it. If you have any questions, please do not hesitate to contact me at tguevara@iu.edu or by phone at 812-855-2627.

Sincerely,

Jong Guran

Tom Guevara Director, Indiana University Public Policy Institute

History of the Institute

Established in August of 1992 as the Center for Urban Policy and the Environment to lead applied research through the School of Public and Environmental Affairs, the Indiana University Public Policy Institute now celebrates its 30th year of data-driven applied research, analysis and guidance that is objective, insightful and nonpartisan. In 2008, the administration and researchers of CUPE became the staff of PPI, established by Indiana University as an umbrella organization for research centers affiliated with the school.

The Center for Criminal Justice Research (CCJR), also created in 2008 was established to reflect PPI's growing expertise in public safety issues. CCJR was renamed the **Center for Health and Justice Research** (CHJR) in 2018 and continues to work with public safety agencies and social service organizations to conduct impartial applied research on criminal justice and public health and safety issues.

In 2018, the Center for Research on Inclusion and

Social Policy (CRISP) was created to examine systemic issues at the intersection of social policy and equity, with the goal of helping leaders and residents make informed decisions about those issues. The mission of CRISP is to analyze and disseminate community-relevant research about social disparities and complex policy issues.

And in the fall of 2021, PPI added the **Manufacturing Policy Initiative** to its family of specialized research centers. MPI studies the challenges facing the U.S. manufacturing sector and identifies policy options for enhancing this vital component of the U.S. economy.

PPI also houses the **Center for Civic Literacy**, which examines the causes and effects of Americans' low levels of civic knowledge. It also promotes tools to help educators and others improve civic understanding.

PPI Team

Center directors

 Sameeska Desai, Ph.D., Director, Manufacturing Policy Initiative, Associate Professor, O'Neill School of Public and Environmental Affairs

- Roxy Lawrence, Interim Director of the Center for Research on Inclusion and Social Policy
- Staci Rising, Interim Director of the Center for Health and Justice Research

Staff

- Nidhi Arun, CRISP Program Analyst
- Brendan Bow, CRISP Program Analyst
- Krystal Gibson, MSW, CRISP Program Analyst
- Drew Klacik, Senior Policy Analyst
- Roxy Lawrence, MSW, MSP, Director of Evaluation, CRISP
- Rebecca Nannery, Senior Policy Analyst
- Jamie Palmer, Senior Policy Analyst
- Nicholas Ramsey, Project Management Coordinator
- Staci Rising, MSCJPS, Program Manager, CHJR
- Kristi Schultz, CRISP Program Analyst
- Vatsla Sharma, CHJR Program Analyst
- Rachel Thelin, Senior Policy Analyst
- Elizabeth Thuranira, CRISP Program Analyst

Board of Advisors

PPI's Board of Advisors provide guidance to the director on strategic direction, including initiatives and focus areas. Board members serve as advocates and ambassadors for PPI, utilizing their community connections to enhance and promote PPI's public image. Board members also identify opportunities to secure financial resources and partnerships necessary for PPI to advance its mission.

- Claudia Cummings, President and CEO, Indiana
 Philanthropy Alliance
- John R. Fernandez, Global Chief Innovation Officer, Dentons
- Greg Lindsey, Professor, Humphrey School of Public Affairs, University of Minnesota
- Marissa Manlove, retired
- Joanna Taft, Executive Director, Harrison Center for the Arts

Ex-officio members

- Siân Mooney, Dean, O'Neill School of Public and Environmental Affairs, Indiana University
- Brad Heim, Executive Associate Dean, O'Neill School, IU Bloomington
- Jeremy Carter, Interim Executive Associate Dean, O'Neill School, IUPUI

PPI completes dozens of projects each year that impact organizations in and around Indianapolis, the state of Indiana, and at the federal level. Many of these projects are notable for the unique challenges we help our clients address as well as for helping to improve policy for programs serving the public interest. Below are some examples of the work of PPI and its research centers.

Testimony to the Task Force on Wastewater Infrastructure Investment and Service to Underserved Areas



In fall 2021, PPI researcher Jamie Palmer was invited to testify twice before the Indiana General Assembly's Task Force on Wastewater Infrastructure Investment and Service Investment and Service to Unserved Areas. She presented data and analysis on the financial needs for

Jamie Palmer

wastewater infrastructure and on unsewered communities with failing septic systems across Indiana. Palmer testified to legislators that the estimated 20-year funding gap for wastewater (traditional wastewater, combined sewer overflow, and septic remediation) was between \$3.5 billion and \$4.6 billion, with an annual funding gap of \$292 million to \$383 million.

Indiana traffic safety analysis and reporting

For the 16th year, PPI analyzed state vehicle crash data from the Indiana State Police's Automated Reporting Information Exchange System. Research findings are included in a series of fact sheets and the final publication is the annual Indiana Crash Fact Book. This book contains data and analyses on every crash reported in all 92 of Indiana's counties. The Indiana Criminal Justice Institute sponsors the project.

Analysis of rural transportation needs

The Indiana Soybean Alliance commissioned PPI to analyze the progress counties are making in addressing local needs and the challenges county governments face in building and maintaining road and bridge infrastructure. The research team analyzed rural road and bridge conditions, plus infrastructure revenue and spending. Last year marked the first time that data for all counties was available, allowing the creation of a baseline for the analysis of trends over time.

TMap study of remote work economic impact

As remote working becomes more common, in part because of the pandemic but also because of improved



The historic Spencerville Covered Bridge in DeKalb County, Indiana, was built in 1873 and spans the St. Joseph River. In 2021, it was identified as a top priority among the thousands of rural bridges in the United States worthy of repair in President Joe Biden's "American Jobs Plan."

technology, Indiana-based TMap is developing a set of strategies to assist states and local communities to attract and retain remote workers. A critical element in justifying these efforts and attracting partners is describing and quantifying the economic and fiscal benefits associated with remote workers. TMap asked PPI to develop a methodology to estimate the economic contributions and state and local taxes generated by a remote worker's earnings and household spending. The goal of PPI's work was to develop a model that enables a user to input a remote worker's or combined household's income and estimate the direct economic impact of the remote worker's spending as well as the spinoff activity generated by that spending. Additionally, based on the spending estimate, the model estimates a range of state and local taxes. The initial model is specific to Indiana. If that proves effective, PPI and TMap will consider options to build a model that works more broadly for other states.

The three most critical elements required to estimate the economic activity and taxes generated by the remote worker are the worker's income, household income, and county of residence. This data serves as the foundation for estimating spending behavior and the economic activity generated by that spending. The spending estimates are then used to produce a range of state and local taxes. The analysis was completed at the county level and is now being used by TMap and several local economic development organizations.

Evaluating Big Car's Cruft Street Commons

The IU Public Policy Institute has partnered with Big Car Collaborative since 2021 to create a baseline report for ongoing evaluation of Big Car's Cruft Street Commons initiative on the surrounding area. Located in the Garfield Park neighborhood of Indianapolis, Cruft Street Commons is a culturally focused area within the neighborhood where artists and leaders live and work to build an inclusive, artist-led community for a better Indianapolis.

The report includes, (1) a baseline analysis summarizing present-day demographic, income, education, and housing characteristics in the Garfield Park and Bean Creek neighborhoods; (2) a parcel-level neighborhood comparison analysis to be used for future property valuations; (3) a multistakeholder survey implementation guide to assess changes in relationships, housing security, and feelings about the neighborhood; and (4) an analysis of initial neighborhood survey data collected thus far.

The initial survey data analysis yielded the personal characteristics of those who already completed the survey. These characteristics were compared to the population estimates of the surrounding area, yielding implementation recommendations that will improve ongoing data collection by ensuring the experiences and viewpoints of those living near Cruft Street Commons are represented.

Center for Health and Justice Research

Indiana First Responder–Comprehensive Addiction and Recovery Act program evaluations



Naloxone is a medicine that rapidly reverses an opioid overdose. It can quickly restore normal breathing to a person if their breathing has slowed or stopped because of an opioid overdose.

The Indiana First Responder–Comprehensive Addiction and Recovery Program (FR-CARA) evaluation examines the circumstances around naloxone administration by first responders throughout Indiana using funding from the U.S. Substance Abuse and Mental Health Services Administration. This is CHJR's fifth year supporting the IDOH FR-CARA grant. IDOH distributes naloxone to rural first responder agencies throughout Indiana. Agencies also have access to hands-on naloxone trainings



CHJR and CRISP parterned with Community Solutions and Marion County Community Corrections to research reentry services at Duvall Residential Center, a male-only facility on Indianapolis' near eastside with 350 beds. Residents may leave for work, school, or medical attention.

conducted by IDOH staff. First responders who receive these naloxone doses are asked to complete a CHJR survey after administering each dose. Surveys record information about first responders, overdose victims, naloxone administration, the scene of the overdose, and outcomes. Data from the FR-CARA project has been used to identify and target populations most at risk for opioid overdose, inform decision making and funding around harm reduction strategies for opioid use. It also provides support for rural Indiana first responder agencies who are seeing record-high opioid overdose rates in their communities.

Innovative Reentry Initiatives Second Chance Grant Randomized Control Trial

Sponsored by the U.S. Bureau of Justice Assistance and the Indianapolis Office of Public Health & Safety, CHJR and CRISP have partnered with Community Solutions and Marion County Community Corrections to conduct a Randomized Control Trial (RCT) to study the impact of reentry services on justice-involved individuals at Duvall Residential Center, a work release facility. Reentry services are provided by Community Action of Greater Indianapolis and include GED classes, employment support, cognitive behavioral therapy, and a cash stipend. Results from this RCT will be able to inform best practices in terms of reducing recidivism and technical violations in community corrections settings, as well as implementation barriers and facilitators for similar programs across the country.

Center for Research on Inclusion and Social Policy

CHIP Point-in-Time Count

CRISP and the Coalition for Homelessness Prevention and Intervention (CHIP) collaborated with local organizations to conduct Marion County's 2022 annual Point-in-Time (PIT) Count. As mandated by the U.S. Department of Housing and Urban Development, the PIT Count reports the number of people experiencing homelessness on a single night in January. This policy brief highlighted key findings and takeaways from the PIT Count to inform policy decisions and service provision. There were 1,761 individuals counted in the 2022 PIT Count, a 9% decrease since 2021. The number of unsheltered individuals was 202. Those identifying as Black or African American continue to be disproportionately represented in the homeless population at 56%.

Response to the 2022 CHIP Point-in-Time Count

Given the results of the 2022 PIT Count, CRISP was interested in exploring greater trends around housing and homelessness in Marion County, as well as in other similarly sized cities. This research was presented in a policy brief along with specific policy recommendations for each level of government.

General recommendations included:

- Increase the housing supply
- Limit legislation that criminalizes homelessness
- Invest in infrastructure for homeless populations
- Address issues causing youth homelessness
- Expand social safety nets

IHCDA Impact Evaluation

The Indiana Housing and Community Development Authority provided funds to a variety of community organizations seeking to improve outcomes for communities or families affected by the COVID-19 pandemic. CRISP conducted an implementation and outcome evaluation for three of the organizations: Foster Success, Prosperity Indiana, and Hoosier Uplands. These organizations' programs seek to address financial inequities for foster-involved youth, the predatory lending industry in Indiana, and transportation equity for people living in rural Indiana.

Findings will be used to inform IHCDA of its impact on organizations and communities and to provide organizations with tangible outcome data that can be leveraged for future program sustainability efforts.

Manufacturing Policy Initiative

Forum on the future of manufacturing



Gil Kaplan

In January, former U.S. Undersecretary of Trade and MPI Advisory Board Chair Gil Kaplan hosted a national forum on the state of manufacturing in the United States. The event included one-hour panel discussions with national experts on securing a strong and resilient U.S.

manufacturing workforce, enabling future technology innovation in U.S. manufacturing, international trade and manufacturing, and the future of shoring: reshoring, nearshoring, allied shoring, and other approaches.

Advisory Board meeting and discussions

Many of MPI's Advisory Board members are national

experts or policy advisors in various manufacturing industries. In September, they met to discuss manufacturing in the second year of the Biden administration, labor markets and manufacturing, as well as how the relationship between manufacturing, electric vehicles, and climate goals.

Board members also held an in-depth discussion of the CHIPS for America strategy released by the U.S. Department of Commerce. This document outlined the federal government's goals and strategies for implementing the CHIPS and Science Act to increase U.S. production of semiconductors and increase the country's global share of advanced manufacturing.

Student Research Experience

Each year PPI employs graduate and undergraduate students to assist with research. Through these assistantships students gain valuable applied research skills to utilize alongside their classroom experience. In the 2021–22 academic year, PPI provided research experience to 15 students—seven undergraduates, seven graduate students, and one Ph.D. candidate. Ten students were enrolled in programs at the O'Neill School of Public and Environmental Affairs while five students were enrolled at other school across IUPUI. Here is one summary of student experiences at PPI:

Nidhi Arun, MPA, 2022

"As a student research assistant for the Center for Research on Inclusion and Social Policy, I have had the opportunity to work directly with community organizations trying to address interconnected issues that lead to societal disparities.

"I wanted to take the research skills from my MPA courses and put them to work. The CRISP staff has provided me with mentoring and new opportunities as I develop my skills, build a network, and make an impact before I completed my degree in May 2022.

"In 2021, my work predominately focused on projects involving inclusive growth, homelessness, and crime. However, CRISP has expanded its work in the past year to include issues such as equitable education. Working



FINANCES



Nidhi Arun joined CRISP as a program analyst in 2022. Her work focuses on inclusive economic development, gender equity and good governance. In her research, Nidhi explores institutional factors that cause poverty and inequity. She also specializes in development theory, program evaluation and mixed-methods analysis. Prior to joining CRISP as a full-time staff member, Nidhi supported research and evaluation as a graduate research assistant for more than year.

in this new space allows the CRISP team to help leaders better understand the challenges educators, schools, and students face to develop solutions.

"Education is one of the greatest equalizers in society. It opens economic doors and can change a family's social trajectory for generations. In fact, Americans who have a college degree earn higher salaries, are less likely to live in poverty, and are more civically engaged.

"Yet racial, ethnic, and gender disparities in education often block certain groups from reaping these benefits. This is where CRISP's work comes in. We can help decision makers understand the differences in policy approaches and the outcomes each approach can have.

"Much comes down to understanding equality versus

equity. Past policies aimed at closing educational opportunity gaps have historically focused on equality. While these approaches provide the same services and opportunities to all students, an equity-based approach puts systems into place to ensure every child receives the specific support they need to be successful.

"But before leaders can develop an appropriate approach to address an issue, they must first understand the unique challenges various student groups face. To do this, CRISP researchers and analysts take a deep dive into the current educational landscape to identify where gaps exist and what may contribute to them.

"There are many factors that contribute to the challenges students face at school. These issues are often intertwined and difficult to disentangle. By taking a research-driven approach, the CRISP team is well-positioned to help those in power make informed decisions that will change lives and communities. Working toward educational equity is an effective starting point to unpack the systemic disparities that have trapped generations of minorities in all aspects of their socio-economic lives. And I am proud to be part of the effort to address those disparities through my work as a student research assistant at CRISP."

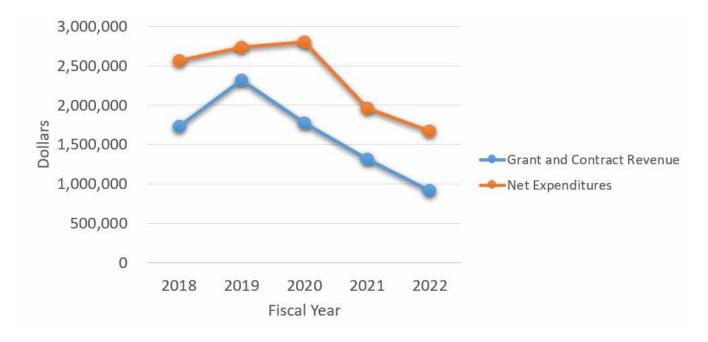
Financial Summary

PPI's grant and contract revenue for FY 2022 totaled \$916,860, a decrease of \$398,336 from 2021's grant and contract revenue of \$1,315,196. Revenue was adversely affected by the COVID pandemic, which impacted economic conditions into calendar year 2021, combined with loss of staffing in the latter part of the fiscal year.

Expenditures during FY 2022 decreased by \$284,267, primarily through a reduction in staff salaries. As a significant cost-saving move begun in FY 2022, PPI moved to an on-campus location near the downtown canal. This move will save PPI more than \$100,000 in rent and IT expenditures, which we expect to realize in FY 2023.

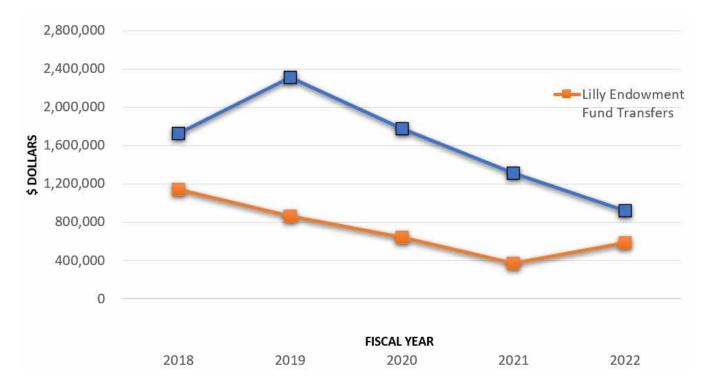
As a final note, FY 2023 first quarter (June through September) grant and contract revenue has rebounded to \$485,000 or 52% of FY 2022's total grant and contract revenue.

FINANCES



PPI estimated new funding and expenditures

PPI revenue (excluding school matching support)





For 50 years, the Paul H. O'Neill School of Public and Environmental Affairs has been a leader in stewarding the development of equitable and inclusive societies, democratic governance, and a healthy, sustainable environment. With partners across the public, private, and nonprofit sectors, we pursue education, scholarship, and civic engagement at the crossroads of policy, science, and management. We give our students the knowledge and skills to have a significant impact in diverse settings around the globe.

Twenty years after the O'Neill School was established, the IU Public Policy Institute was created. Since that time, PPI has been an important partner with our faculty and researchers to shape the world around us, and making a difference for the citizens of Indiana and beyond. Solving the most difficult challenges of public organizations is part of the DNA of both the O'Neill School and PPI, and we couldn't be more excited as we go forward together for the next 50 years.

The key to any successful plan to is leverage your strengths to their maximum potential. The relationships that have been built by the O'Neill School in its halfcentury of service and PPI in its three decades of existence provide the perfect opportunity to expand the impact of both. Whether it is working with contacts in state, local, or federal government, or in the private or nonprofit sectors, the O'Neill School and PPI have the ability to study, identify, and solve complex problems in ways that allow leaders to make better decisions and improve the quality of life for the communities they serve.

Our latest strategic plan emphasizes the important role PPI will play in helping the O'Neill School and Indiana University engage with stakeholders at every level. I am excited that we will be working together to bring education, research, and service to address the most challenging issues of the day and continue the legacy of leading for the greater good.

Siân Money

Siân Mooney, Dean Paul H. O'Neill School of Public and Environmental Affairs

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340 W. Michigan Street Indianapolis, IN 46202 Phone: 317-278-1305 policyinstitute.iu.edu blog.oneill.iupui.edu